



# SUNGARD ENTERPRISE COLLATERAL MANAGEMENT

SunGard's Solutions for Enterprise Collateral Management

## ARE YOU THERE YET?

### ENTERPRISE COLLATERAL MANAGEMENT IS THE DESTINATION. SUNGARD HAS THE ROADMAP.

Regulatory demands are increasing; the scrutiny on the quality of collateral is intense; the tightness around balance sheet utilization presents new challenges. Sound collateral management is essential both to manage risk and to optimize capital efficiency. But it's still a highly fragmented landscape in many organizations with collateral managers working across individual asset classes, geographies and business groups.

Individually managing the collateralization of OTC derivatives, repos, exchange traded derivatives, stock borrowing and lending, and prime brokerage creates a multi-silo effect. This increases opacity and risk, and delivers sub-optimal use of capital.

It's clear that an enterprise-wide approach to managing collateral would deliver major advantages in transparency as well as operational and capital efficiency, in an increasingly rigorous regulatory environment. It also gives the competitive advantage of a single customer view of collateral. But to date there has been no proven way to reconcile the complexities of differing master agreements, manage net positions and margin calls across multiple business lines and deliver a holistic understanding of collateral, until now...

### A TWIN-TRACK APPROACH, A MAJOR ADVANCE

SunGard's Adaptiv Collateral is recognized as a sophisticated collateral management solution. Dovetailing with position-keeping systems, it lets you monitor and manage the quantity and quality of collateral assets used to cover margin calls. Integrated automation and straight through processing means that the high-level dashboard gives a real-time view, making even intra-day collateral calls simple, so optimizing your collateral management process.

Apex is SunGard's powerful cross-asset inventory management system for securities financing and collateral trading. Receiving data directly from your other trading systems, Apex lets you create and monitor real-time positions for your financing and funding, and combines this with automation of cross silo position management, and associated allocation of costs and revenues provides the opportunity to make best use of the firm's assets.

TRANSPARENCY		EFFICIENCY		OPTIMIZATION	
Transparency		Integration		Optimization	
Firm wide view of assets for trading/collateral	✓	Automated inter entity flattening	✓	Rule based optimization of inventory	✓
Cross firm holistic view of counterparty risk	✓	Cross product margin netting	✓	Optimization of 'segregated' assets	✓
Enterprise view of under/over collateralization	✓	Automated margin calls	✓	Optimized collateral workflow	✓
Real /depot inventory view (cross asset)	✓	Integration with tri-party agents	✓	Collateral preference pledging engine	✓
Visibility of individual collateral movements	✓	Collateral reconciliation and dispute management	✓		
Global reporting (management and client)	✓	Combined risk measurement/stress testing	✓		

SunGard Enterprise Collateral Functionality

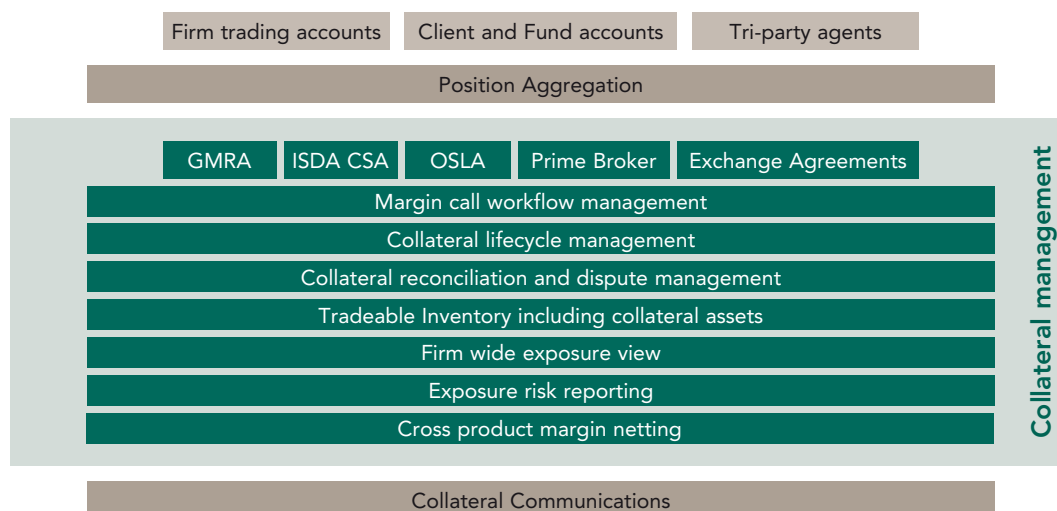


Combining these two powerful platforms, SunGard has delivered a major breakthrough in enterprise collateral management. Putting together a real-time picture of cross-asset collateral inventory and trading capabilities with powerful collateral management tools delivers greater transparency and control. The result is more efficient use of the firm's capital through enhanced capabilities to provide an enterprise view and actively manage exposure and collateral across all business lines.

## THE WAY AHEAD

This dual approach, intelligently combining collateral and inventory management, is a significant advance along the road toward better allocation and management of collateral. But we are not finished there.

SunGard's vision is for nothing less than a complete holistic solution to enterprise-wide collateral management, combining all asset silos along with the front, middle and back office functionality needed to manage the collateral lifecycle most effectively. Only by seeing the whole picture can you deliver next-generation collateral management that satisfies the needs for risk mitigation while at the same time providing the significant competitive advantage of the infrastructure to support cross margining for all business lines.



*SunGard Enterprise Collateral Components*

To find out more and to see our enterprise-wide collateral management solutions in action call a specialist on +44 (0)208 081 2779

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