



SUNGARD SOLUTIONS FOR BROKERAGE & CLEARANCE

EDUCATION SERVICES

At many financial services firms, efforts to improve productivity and efficiency are limited because employees may not fully understand all of the capabilities of their systems. This reduces their ability to optimize the use of these systems or manage them efficiently. This issue becomes more challenging because many firms may have specific training requirements that are not served by standard industry courses.

Meanwhile, employees must stay up to date with the latest regulations and rule changes, as well as meet industry requirements for continuing education.

Education Services, a service of SunGard's Brokerage and Clearance business, can help firms and their employees achieve these goals through a range of standard and customized courses.

Education Services includes:

- Product-specific training across the Brokerage and Clearance business
- Customized eLearning course development
- The ability to white-label the user interface
- A financial industry eLearning course library
- Firm Element/continuing education
- On-demand progress reports via the Learning Management System
- Assessment tools for learning reinforcement and measurement

EDUCATION SERVICES PACKAGES

Learning Management System (LMS)

The LMS software delivers, tracks and manages training. It simplifies training by providing a single point of access to key functions required by both attendees and managers.

Participants use it to sign into courses, launch content, view the calendar, register for classroom training courses and view their course status.

Managers can update course catalogs, groups and user access; maintain the classroom training calendar; communicate with users; and generate reports on usage and user progress.

Student Quiz License

This license allows students to take generic quizzes, while users can develop their own tests with the Quiz Creation Tool. Alternatively, SunGard's eLearning team can work with clients to develop customized tests, quizzes and surveys.

GMI Training

SunGard offers more than 110 courses in 13 areas related to GMI, SunGard's global back-office clearing and accounting system for exchange-traded derivatives, futures, options, energy, and cleared OTC products. Topics include Inquiry System, Commissions, Fees, Account Setup and Maintenance, Trade Entry and Query Builder.

Phase3 Training

SunGard offers a suite of video training courses, aimed at users of Phase3, SunGard's real-time order-to-settlement securities processing system. The courses address basic database setup procedures; the establishment of customer accounts, security records and inventories; and processing of a simple order and trade within Phase3. The course consists of six modules.

eLearning Course Library

The library includes over 100 eLearning courses on a variety of financial services industry topics.

Securities Training Corp. (STC) eLearning Course Library

These courses can benefit professionals who are seeking to meet the requirements for Firm Element (continuing education) in the financial services industry. Courses are divided among five categories:

- Brokerage Service and Markets – 15 courses
- Compliance and Sales Practices – 35 courses
- Critical Issues Series – 55 courses
- Investment Products – 18 courses
- Supervisory Training – 8 courses

Exam Preparation Training

Customers can sign up for training for industry exams such as Series 6, 7, 9, 10, 24, 63, 65 and 66. Training includes free, unlimited phone tutoring, live instructor-led tutorials and on-demand recordings of Webcast tutorials.

Customized Training Services

SunGard's Brokerage & Clearance business also offers customized training sessions that can be presented at either the customer's site or a SunGard training facility.

Additional Services

- Discounts for volume subscriptions to FIRE Solutions Exam Prep Training from SunGard clients and affiliates
- Flex 12 training (12 hours of flexible one-on-one training delivered via WebEx)
- eLearning development
- On-boarding development
- Assessment creation
- Site customization

KEY BENEFITS OF EDUCATION SERVICES:

Helps customers optimize the use of their SunGard systems

Customers can better understand and leverage the full range of functionality available within their SunGard systems.

Supports efforts to meet compliance needs

The Firm Element courses can help firms meet requirements for continuing education in the financial services industry.

Helps firms quickly train new employees

Customers can on board new hires quickly via system-specific and industry-related training programs. This streamlined path to employee productivity helps establish a firm foundation for new staff members.

Allows customers to train key staff in a consistent manner wherever they are based

eLearning courses can train geographically dispersed users and second and third shift team members. The courses also provide a consistent level of training for all employees across the organization.

Provides growth opportunities for existing staff

Users can broaden their knowledge base via eLearning training sessions, supporting their career development while helping their employer stay abreast of industry and system developments.

Can be customized for employees and clients

Customers can develop their own employee training services and provide them through the Learning Management System. In addition, SunGard's eLearning development professionals can create customized Web-based eLearning training and assessments for both internal teams and clients.

NEED MORE INFORMATION?

Contact your sales representative at +1-800-825-2518 or visit us at <http://www.sungard.com/bceducation>.

©2008 SunGard.

Trademark Information: SunGard, GMI, Phase3 and the SunGard logo are trademarks or registered trademarks of SunGard Data Systems Inc. or its subsidiaries in the U.S. and other countries. All other trade names are trademarks or registered trademarks of their respective holders.