

STREAM GMI CERTIFICATION PROGRAM

Customers of SunGard’s Stream GMI solution (formerly known as GMI) can now participate in the Stream GMI Certification Program in order to benchmark their knowledge of the derivatives industry and demonstrate system proficiency.

Certification is achieved by attending the applicable training seminar(s) and the successful completion of the certification exam(s), which give customers confidence in staff knowledge retention.

There are two certifications available: Basic Derivatives Knowledge, for those who require a basic understanding of the futures and options industry in order to support or use Stream GMI, and Stream GMI Fundamentals, which is for people who use Stream GMI for futures processing or staff who support these users.

The certification program is being offered in conjunction with the Stream GMI Training Program.

	Basic Derivatives Knowledge	Stream GMI Fundamentals
What is it?	A two-day training seminar, the Basic Derivatives Knowledge course offers a base-level certification for users who are new to the derivatives industry or want to benchmark existing knowledge.	The Stream GMI Fundamentals certification is a four-day seminar and covers the basic building blocks of Stream GMI. This certification allows new users to gain knowledge of the major areas of the system and helps experienced users learn about areas of the system that they have not used.
What topics are covered?	<ul style="list-style-type: none"> • Components of a futures trade • Basic terminology and concepts of options • Reading and understanding price quotes • Calculating margins and commissions • Identifying spec vs. hedge trading • Basic gain/loss calculations 	<ul style="list-style-type: none"> • Navigating Stream GMI • Account processing • Static data tables and product setup • Data entry and position maintenance • Inquiry system (RIO) • Basic commission and fee processing • Night run
Are there any prerequisites?	No.	Yes, Basic Derivatives Knowledge certification, Series 3, or two years’ industry experience.
Do I need work experience in order to pass an exam?	No, exam content is covered in the program.	No, exam content is covered in the program.
How long is the certification valid?	This is a permanent certification.	Certification is valid for two years, after which users may take the exam again to keep their certification status current.

Exam Guidelines

How can I reserve my place?	Contact Education Services at SunGard by calling +1 312-577-6192 or e-mailing sfschitr@sungard.com.
When can I take exams?	Participants can take the exam the day after the training or schedule it for a future date. However, the exam must be taken within one year of completing the certification training.
How is the exam administered?	The exam, which is proctored, is administered online and uses SunGard's eLearning platform.
What is the format of the exam?	The exam has 100 multiple choice questions. Participants have two hours to complete the exam and are not allowed to refer to training materials during the exam.
What is the passing requirement?	Attendees must receive a score of 80% or better to pass. Results are displayed online, and certificates are issued to those who pass the exam.
What happens if I do not pass?	The exam may be taken again after a two-week waiting period.
Can I take the exam without attending one of the certification courses?	Those who have attended the Introduction to Futures and Options seminar or the Stream GMI Futures Introductory seminar within the last two years may take the exam without taking one of the certification courses. The following options are available: <ul style="list-style-type: none">• Manual and exam• Manual and eLearning subscription and exam

KEY BENEFITS OF STREAM GMI:

- Helps increase competitiveness by standardizing business processes and creating efficiencies with consistently trained and knowledgeable staff
- Provides a base level of knowledge about the industry and Stream GMI
- Helps to ensure that employees are continually updating and improving knowledge of Stream GMI
- Can improve overall performance and increase employee job satisfaction
- Supports career development

NEED MORE INFORMATION?

Contact your sales representative at +1-800-825-2518 or visit us at www.sungard.com/streamgmi.