

## STREAM PHASE3

*True multi-currency and multi-product processing on a single, global, real-time processing platform*

The competitive and regulatory pressure facing brokers, dealers, prime-brokers and correspondent clearing firms is more intensive than ever. For example, while firms continue to emphasize cost control and increased efficiency, they are also preparing for regulations that will likely require real-time recordkeeping.

However, manual processes make it difficult to access up-to-date data, while the use of multiple platforms increases the challenge of aggregating information for centralized reconciliation and reporting across the enterprise. Both of these practices can also increase cost and reduce efficiency.

Stream Phase3 (formerly known as Phase3), SunGard's global, real-time order-to-settlement securities processing solution, offers a single, global platform that can manage multiple products, currencies and businesses in real-time, helping firms manage all of these challenges.

Stream Phase3 provides real-time balances and positions as trades are processed throughout the day. This gives operations staff an up-to-date picture of the firm or business's position and therefore helps to mitigate risk.

Its open architecture makes it easy to feed downstream systems as well as to receive trades and other real-time data from sources such as trading platforms and Websites, which supports straight-through processing. Stream Phase3 also helps ensure consistency across the organization by providing the same information to multiple systems.

The solution can handle all account and security types – from order entry through trade clearance to settlement and custody – across business lines on a single platform. Because books and records are consolidated, firms can eliminate the delays and errors that can occur when downloading and reconciling results between separate systems.

Stream Phase3 also offers access to SunGard's Hot Site Recovery service. Hot Site Recovery provides dedicated hardware and redundant communications that continuously replicate a customer's trade information – every second of the day. That means that in the event of an emergency, the firm's data will be recovered from the point of failure, not from the last set of backups. Business can continue as smoothly as possible with minimal delays

and without requiring the re-entering of critical data.

Delivered in an ASP environment, Stream Phase3 has served the U.S. and global securities industry for more than 25 years. It is fully compliant with SEC, FINRA and MSRB regulations and interfaces with all major industry utilities. Stream Phase3 also engages its clients via user groups and working groups to foster multi-lateral discussions on regulatory and industry initiatives. Support is available on a 24/7 basis.

### KEY BENEFITS OF STREAM PHASE3:

- Provides accurate, real-time information, helping firms understand their position and mitigate risk
- Helps increase efficiency and accuracy by providing a single platform that works across business, currencies and regions
- Helps control costs by reducing manual processes, increasing automation and eliminating multiple platforms
- Integrates with other SunGard and third-party solutions for value-added tools and a consistent picture across the enterprise
- Helps improve client relations by providing the information and services that end-customers need quickly and securely
- Supports complete business continuity through the Hot Site Recovery service

### NEED MORE INFORMATION?

Contact your sales representative at +1-800-825-2518 or visit us at [www.sungard.com/streamphase3](http://www.sungard.com/streamphase3).