

## OPERATE GLOBALLY WITH TRUE MULTI-MARKET, MULTI-CURRENCY CAPABILITY

Today's economy truly is global, with round the clock financial activity and cross-border trading increasingly common. For maximum efficiency, financial services firms also require systems that work across borders.

SunGard's Stream Phase3 solution (formerly known as Phase3) supports the international investment activities of brokerage firms with true multi-market, multi-currency capability. Customers can combine dollar and non-dollar equity and fixed-income securities transactions and financing transactions in one system with interfaces to global depositories and clearing facilities worldwide.

Delivered in an ASP environment, Stream Phase3 helps reduce the manual tasks, delays and errors associated with multiple time zones, multiple markets, and multi-listed securities, helping firms handle increasing volumes and support business growth. The solution also helps streamline sales efforts and simplify operations while providing up-to-date information for rapid, reliable decision-making.

Among Stream Phase3's advantages is its ability to process all security types and currencies – dollar and non-dollar equities, fixed income, financing and foreign exchange, as well as gilts, index-linked government bonds and ADRs – on a single platform for consolidated books and records. This helps eliminate the delays of reconciling results between separate systems.

Stream Phase3's global processing helps firms trade, clear, settle and finance any security in any currency; measure gains and losses; and post transactions in their native currency – all in real time. Because the system processes transactions in the native currency, there's no need to adjust books or recalculate at the end of the day.

This global solution leverages the capabilities of SunGard's Stream Phase3, Stream Credit Monitor, Stream STN Settlements (formerly known as STN Settlements), Stream Report Writer and StreamCore offerings. Functions include:

- Deal capture
- Confirmation processing
- Commissions and fees
- Pre-settlement trade match and transaction reporting

- Settlement shaping (netting and splitting)
- Clearance and settlement
- Position management and depot projections
- Cash management and nostro projections
- Fail management
- Dividends, interest and corporate actions
- Asset servicing
- Financing and collateral management
- Margin and credit monitoring
- Trading sub-ledger
- Profit & Loss
- Online report viewing and optical storage

Stream Phase3 underpins customers' multi-market capabilities with support for:

- Local and international security identifiers
- Unlimited settlement instructions by currency, product, transaction type, etc. through the Global Delivery Instruction Database
- Multi-exchange listed securities

Stream Phase3 also features:

- Segregation processing for dually eligible DTCC and Euroclear securities
- Automated depot transfers
- Interfaces with Euroclear, GlobalOne, S.W.I.F.T., Crest, TRAX and global custodians worldwide
- Compliance with FASB 52 and local currency translation
- Real-time screens and reports to view transactions, positions and balances in both the local currency and the settlement currency
- Consolidated views across multiple markets
- Multi-currency dividend, interest and corporate action processing

### **KEY BENEFITS OF STREAM PHASE3:**

#### ***Offers true multi-market, multi-currency support***

Stream Phase3 combines dollar and non-dollar equity and fixed-income securities transactions and financing transactions in one system, with interfaces to global depositories and clearing facilities worldwide.

In addition, the system processes transactions in the native currency, so there is no need to adjust books or recalculate at the end of the day.

#### ***Helps improve efficiency, streamline sales efforts, simplify operations and support rapid, reliable decisionmaking***

Stream Phase3 reduces the manual tasks, delays and errors associated with multiple time zones, multiple markets and multi-listed securities, helping firms handle increasing volumes and support business growth.

#### ***Provides ease of integration***

Stream Phase3 offers a real-time architecture, API, and replication for STP. In addition, it is available on an ASP basis, which eliminates maintenance and support requirements for the customer.

#### ***Helps streamline operations***

Stream Phase3 automates each step of the trading lifecycle and eliminates the need for manual intervention.

#### ***Helps eliminate the delays of downloading and reconciling results between separate systems***

Stream Phase3 can process all security types and currencies onto one system, creating consolidated books and records.

#### ***Based on robust technology***

The product handles high volumes and all levels of business continuity.

#### ***Helps ensure accuracy and mitigate risk***

Sophisticated, real-time edits help ensure accurate orders and contributes to risk mitigation.

### **NEED MORE INFORMATION?**

Contact your sales representative at  
**+1-800-825-2518** or visit us at  
[www.sungard.com/streamphase3](http://www.sungard.com/streamphase3).