MITIGATE THE RISK ASSOCIATED WITH THE COMPLEX TAX REQUIREMENTS OF SPECIALIZED ASSET CLASSES

Tax information reporting is a complex task, with unique and varying requirements for different types of securities.

There are bonds whose coupon payments are considered dividend income, not interest, and there are exchange traded instruments that will generate interest, Original Issue Discount (OID), miscellaneous income and gross proceeds all in one year. There are even securities that have reportable income events when no cash has been distributed.

Now you can help ensure that you are capturing and reporting these events by augmenting your general tax reporting solution with Wall Street Concepts' asset class services.

As a specialist with three decades of experience in tax information reporting, Wall Street Concepts can identify these and other situations and help ensure that your firm is compliant and that your investors are well informed of the tax implications of their investments.

Beyond tax reporting, Wall Street Concepts' services provide information that is fundamental to the ongoing tracking of cost basis. This includes accrual of market discount and acquisition premium and the capture of distributions such as liquidations and returns of capital.

Its asset class services provide a broad range of coverage and include:

- AMBIR – for all mortgage backed securities, including REMICs, Widely Held Fixed Investment Trusts (WHFITs) and CMOs, computing reportable income and expenses
- Income Reallocation Service – transaction-based service for Regulated Investment Companies (RICs) and Real Estate Investment Trusts (REITs) capturing post-payment changes to tax characterizations
- Long Term Original Issue Discount (OID) Services – transaction-based service for computing the accrual of OID on non-collateralized instruments
- Master Limited Partnership Reporting – identifies, collects and distributes the required Street Name information to Master Limited Partnerships
- TEFRA Glossary – a database of securities that require special handling for reportable events such as deemed payments, interest excesses and shortfalls on contingent payment debt and allocation of payments on structured products
- Unit Investment Trust (UIT) Service – processes UIT transactions to produce year-end tax information reporting consistent with WHFIT reporting requirements

Wall Street Concepts can handle all of your income processing, including post-payment tax allocations, accruals, and the coordination of non-qualified interest, helping to ensure that you know what your clients hold – and that your clients understand as well.

KEY BENEFITS OF WALL STREET CONCEPTS' ASSET CLASS SERVICES:

- Help mitigate regulatory and reputational risk by ensuring that your tax reporting is compliant and comprehensive
- Leverage Wall Street Concepts' deep knowledge and experience in information reporting and related reporting issues
- Provide essential information for ongoing cost basis computations
- Identify client holdings for better service and your clients' own knowledge
- Streamline operations by collecting and processing data for you

NEED MORE INFORMATION?

Please contact your sales representative at + 1-800-825-2518 or visit us at www.sungard.com/wallstreetconcepts.

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