



SUNGARD STREAM SECURITIES TRANSFER

Recordkeeping and accounting solutions for the corporate trust, stock transfer and equity compensation industries

HELPING REITS IMPROVE HOW THEY PROCESS SHAREHOLDER RECORDKEEPING ACTIVITIES

Many Real Estate Investment Trusts (REITs) manually process shareholder recordkeeping activities on Microsoft Excel® and Microsoft Access®. However, as the economy slows and the global economic crisis continues, REITs are under increasing pressure to reduce administrative costs, manage complex real estate risk through more accurate recordkeeping, and increase their flexibility to handle new financings as they come to market.

With an automated platform that handles a range of functionality, SunGard's Fastock solution can help REITs reduce the operational risks associated with manual intervention.

Its REIT-specific functionality includes proxy processing and Internet proxy voting, dividends, tax reporting, stock splits, escheatment, dividend reinvestments, broker compensation checks, and state withholding tax. In addition, customers can use Fastock to prepare duplicate plan statements for brokers and financial advisors with dividends, cash allocation and statements on demand. They can also post shares from dividends that are being reinvested from one issue (i.e. UPREIT) into another issue (i.e. COMMON) and process dividend/interest payments using a pro-rated calculation provided by the REIT.

Reports are flexible and user-defined. Customers can create broker account lists, investor reports sorted by firm and then by broker, and reinvestment statements sorted by firm, broker and – if desired – zip code or account ID. Other reporting features include management control and statistical reports, the ability to search the full text of reports online, user-defined data exporting, and the ability to create statements, labels and acknowledgements.

REITs can also benefit from other Fastock features, such as real-time updates, online transaction history and online edits, multiple account look-up methods, comprehensive security and data archiving.

Fastock is compliant with interfaces for direct deposit of dividends, bank account reconciliation, IRS filing, and direct draft for purchase, mail merge, DTC and co-agent.

Tax reporting functionality includes:

- 1099-DIV, INT and 1099-B, in hard copy and over the Internet
- 1042S non-resident alien forms, in hard copy and over the Internet

- W-9 TIN solicitation
- B notices
- Back-up withholding
- IRS hard copy, magnetic and electronic filing

The Securities InterLink for Fastock tool allows shareholders to access their own account information directly from a company's Web site on a 24/7 basis. They can also vote their proxies and view or print information such as dividend reinvestment history, 1099s, and proxy statements.

By providing investors with up-to-date information online, REITs can enhance shareholder communications while helping to reduce direct mail costs and increase employee productivity.

KEY BENEFITS OF FASTOCK FOR REITS:

- Helps reduce administrative costs through automation
- Provides shareholders with easy access to up-to-date account information at their convenience
- Reduces direct mail costs by making account information and proxy voting available online
- Helps firms stay abreast of regulations and remain compliant
- Offers a range of functionality and includes numerous features that are specific to the needs of REITs
- Provides flexible, user-defined reports

NEED MORE INFORMATION?

Contact your sales representative at +1-800-825-2518 or visit us at www.sungard.com/streamsecuritiestransfer.

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