



ADD-ON PRODUCTS AND INTERFACES

Expand Your Trust Management System with Intergrated, Additional Functionality

Check Printer

- Standard Register Company

Client Relationship Management Software

- Daily automated update of Charlotte account data to client relationship management software applications
 - » Goldmine

Confirm Direct

- Provides quick receipt of security trade confirms directly from DTC
- Electronically transmits trade data
- Reduces transaction posting in Charlotte

Custody Services

- Online trade settlements and capital change notices
- Personalized service and research services
- Charlotte interface to trade order system
- Daily cash settlement/reconciliation

Disaster Recovery Plan

- Business continuity plan
- Customizable model plan
- Annual testing

Investment Advisors

- Automated creation of Charlotte transactions and positions files for transmission to third party investment advisors
 - » MainStreet Advisors
 - » Wright Investors' Service

Investment Advisors

- Automated receipt of transactions and positions from investment advisors into Charlotte for processing:
 - » Fidelity
 - » Merrill Lynch
 - » Morgan Stanley
 - » Pershing LLC
 - » Schwab
 - » Smith Barney / TrustSourceSM
 - » Unified Overlay Management Program
 - » TD Ameritrade

Investment Management Systems

- Automated creation of files containing transactions and positions that populate
 - » Advent
 - » Baseline
 - » Checkfree/APL
 - » SunGard Investor'sView
 - » Indata
 - » PTI (Centerpiece)

First Rate

- Investment performance reporting service
- Downloads and scrubs account data
- Benchmarks performance against other indexes
- Provides reports tailored to client's needs

Marketing Customer Information File (MCIF)

- Charlotte customer data interface for client relationship management software

Mutual Fund Redemption Fee Data

- New River

Oil and Gas Interface

- PDS
- OGSYS

Pension Module

- A check writing system that provides periodic and lump-sum pension disbursements and associated 1099R reporting
- Employee benefit payment system with Charlotte interface
- Multiple withholding categories, 1099R production
- Produce checks or direct deposit through ACH interface

Performance Reporting Vendors

- Automated links to performance vendors
 - » First Rate
 - » Advent
 - » Greenhill
 - » Investment Scorecard

Piggyback Processing

- Allows institutions to process trust accounts (such as trust accounts for another entity) as a separate database

WealthStation Financial Planning

- Offers single-issue, goal-based planning
- High degree of report flexibility
- Covers over 20 financial concepts
- Provides scenario comparisons and what-if modeling

Portfolio Account Link (PAL)

- Up-to-date account information via the Internet, including account summary, transaction activity and investment details, including tax lots
- Account inquiry for holdings and transactions
- Graphical displays and download capability
- E-mail and posting
- Used by clients, outside money managers and trust officers
- Internet statements
- Month-end and demand reports
- Trust Committee Reviews

Portfolio Realignment System (PRS®)

- Creation and maintenance of Mutual Fund asset-allocation models
- Automated buy/sell transactions for compliance
- Roll-up reporting by fund-sponsor

Proxy Interfaces

- Automatic interface for shareholder disclosure and communications, Internet voting and reorg announcements
 - » Broadridge
 - » Proxy Trust

Regulatory Interface

- FinCEN
- OFAC

Securities Pricing/Synoptic Data

- Daily/weekly pricing updates
- Security descriptive dividend data
- Full asset coverage

SunGard Global Network (SGN)

- Provides single point access to real-time trade and execution
 - » SGN Securities
 - » SGN Funds
 - » SGN Fixed Income
 - » SGN Short-Term Cash Management

Tax Processing

- Automated interface to major tax preparation services allowing for IRS electronic filing
 - » Fast-Tax

Unified Overlay Management Program

- Next generation of investment management service
- Integration of multiple managers into a single account
- Access to best-of-breed managers
- Comprehensive manager due diligence process

Wide Area Network (WAN)

- Allows a remote site to access a client's database for inquiry and/or maintenance via a Wide Area Network