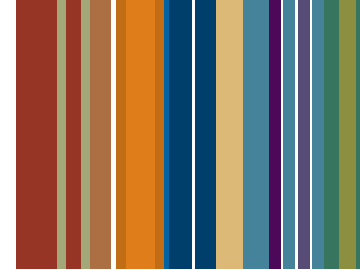


# SUNGARD CHARLOTTE



## Using application service provider (ASP) quality to shift system management responsibilities and help reduce in-house requirements

A real-time trust accounting and investment management solution, SunGard's Charlotte helps community-sized independent banks and trust companies attract, service and grow client relationships. SunGard offers an application service provider (ASP) version as well as an in-house version of its Charlotte trust accounting system for portfolio management, securities processing, performance reporting and regulatory compliance. ASP offers a different option for those Charlotte customers who prefer not to purchase, maintain and upgrade server hardware, or manage complex systems. Use of ASP shifts system management responsibilities to SunGard, allowing you to focus on your core business and clients instead.

## WORKING AND BENEFITTING YOUR WORKPLACE

With ASP, SunGard hosts your Charlotte system in a secure and fully redundant data center, which you access through a secure connection provided by SunGard. In this environment, SunGard-owned, -maintained and -monitored routers and firewalls provide a secure Internet-based VPN connection to SunGard's V\*Net network.

Your staff can access your Charlotte system remotely from their homes, clients' locations, or anywhere they can access your network. They simply connect to the SunGard server via the public Internet using a secure VPN connection.

Not only does ASP expand your workplace world and help save resources, it provides you with automatic upgrades of the Charlotte system, the database, and other related system software, as well as data and system backup and recovery.

## DELIVERING SERVICE WITH SECURITY

With SunGard's Charlotte system, you have the technology and system connections to help achieve your goals. Charlotte's open design, rich functionality, and flexibility put you in control of your data and give you and your staff the tools to perform at higher levels.

- Provides straight-through, end-to-end processing and integrated workflow processes
- Offers automatic cash management, fee calculations and custom reporting packages
- Displays real-time positions and cash balances
- Processes trades, settlements and corporate actions
- Streamlines investment processes, securities processing routines and operations workflow
- Integrates security custodial activity

The ASP version of Charlotte includes Charlotte Front Office: A real-time, browser-based solution for investment and administrative staff that manages client information, generates customizable reports, and provides an automated forms flow tool.

### ASP System Requirements

- Internet Explorer version 6.0 Service Pack 2 or higher
- Windows XP Service Pack 3 or higher OR Vista Service Pack 1 or higher (contains Remote Desktop version 6.1)
- Microsoft .Net 3.5
- Pentium III or higher PC processor
- 1 GHz or higher PC processor speed
- PC system four years old or less with 512 MB (XP) or 1 GB memory (Vista)
- Availability of firewall ports for HTTP and HTTPS: Ports 80 and 443; and for Microsoft Terminal Services: Port 3389
- 10/100 MBPS Ethernet network
- Internet connection with a minimum 1.0 Mb (1.5 Mb recommended) speed
- 1024 x 768 screen resolution recommended

**Your account executive can work with you to analyze your configuration requirements**

## YOU CAN EXPAND OR ENHANCE YOUR SYSTEM WITH THE FOLLOWING:

### **Charlotte Customer Access**

A secure, Web-based reporting portal for your client's to access their account information

### **Charlotte Data Integration**

A suite of products that enables transactions to be conducted electronically from initiation to trade settlement.

In addition, Charlotte integrates well with third-party software and SunGard's other ASP or Software-as-a-Service (SaaS) solutions, such as the WealthStation wealth management platform, Investor'sView advisor platform, SunGard Global Network (SGN) trading connectivity services, and First Rate performance measurement reporting.

Delivering and managing Charlotte from a central, SunGard location helps give you the freedom and security to think about going beyond just connecting to creating relationships with clients, expanding your capabilities and growing your business.

## KEEPING PACE AND CONNECTING WITH A CHANGING WORLD

ASP helps your business stay linked to clients any time, from anywhere, with Charlotte's advanced reporting and communication tools. Furthermore, with redundancy and disaster recovery supporting your Charlotte system, you can be confident that your data is protected.

The face of wealth management is changing and operating successfully may necessitate new approaches to managing and growing your business. Incorporating portfolio management, securities processing, performance reporting and regulatory compliance in an externally managed, secure environment, the ASP version of SunGard's Charlotte system can help your firm compete effectively.

