SUNGARD FTI

INVESTOR'SVIEW™ PREMIUM

Real-time portfolio management — anywhere, anytime

SunGard's FTI created Investor'sView portfolio management software with regional banks, community banks and the trust industry in mind. Designed by investment professionals, this easy-to-use software is browser-based, allowing organizations to manage portfolios anywhere, anytime. Now, go beyond standard portfolio-management capabilities with the open architecture, scalable Investor'sView Premium.

Investor'sView Premium handles real-time portfolio management, regulatory compliance and performance reporting, yet takes the functionality and features one step further with the automated trade-order management and pre-trade compliance of SunGard's TradeDate™ product. All of these enhanced features are accessible via an easy-to-use, customized dashboard interface deployed in a Web-enabled ASP environment.

Investor'sView Premium is tailored to a particular organization to streamline workflows. Organizations can easily connect to business partners, facilitating the movement of data and information with increased speed, accuracy, and efficiency through the entire investment process. The ultimate result: improved business performance and client relationships.

Features and Functions that Go Beyond

With Investor'sView Premium, organizations get all the real-time portfolio management features of Investor'sView Essential, and then some.

Customized, Organization-level Dashboard
- Create dynamic account groups
- View accounts within a relationship
- Add and track account notes
- Access to performance measurement reporting
- Dynamically choose between trade-date and settlement-date views
- Manual or automated approval of portfolios based on an institution's criteria
- Access third-party managers
- Allows proactive information flow and alert notification across the organization
- Send and receive secure messages from colleagues
- Manage user privileges through role-based authorization
- Manage contact information for an entire organization

Define Rules, Policies and Tolerances
- Define and manage investment policies and risk tolerances
- Select rule actions for trading and reporting
- Maintain controls over the rule definition process
- Incorporate Investment Policy Statement at the account level

Enhanced Trade Management and Execution

- Rules-based pre-trade, post-trade and regulatory compliance
- Merge or split orders of similar types
- Create orders without a designated allocation
- Allocate partially-filled orders and maintain remainder as active order
- Add notes to orders
- Manage account positions and specify tax lots using FIFO, LIFO, highest cost, etc.
- Swap multiple securities across one or more accounts
- Specify security types within market value basis calculation
- Enhanced rebalancing and block trading functions
- Advanced trade monitoring, reporting and policy control
- Dynamic cash adjustments

Advanced Features for Compliance

- Flexible review scheduling
- Flexible and customizable review worksheets and questionnaires
- Expanded organizational policy evaluations
- Follow-up task assignment functionality

Enhanced Reporting, Report Package Creation, and Report Delivery

- Full report customization
- Run trade-date and settlement-date reports
- Import, configure and report custom investment and research data
- Import proprietary marketing materials to incorporate into client presentations
- Select logo by organization, user or portfolio
- Select and order columns within any report
- Specify security types to appear in reporting
- Fully schedule and automate reporting process for future delivery

Open Architecture Allows for Advanced Modeling

- Style-class modeling and access to third-party manager investment models
- Advanced modeling and asset allocation control capabilities
- Create and nest models by asset, sector or style class for infinite application
- Rebalance accounts to a strategic or tactical asset allocation model
- Generate proposals for new business development

Ongoing Support

SunGard products are fully supported by SunGard Technology Services. Like all of the elements that comprise SunGard's suite of end-to-end wealth management solutions, SunGard products are designed to help customers attract, service, manage and grow their client relationships now and in the future.

For more information on Investor'sView and SunGard's Wealth Management solutions, contact Bill McFadden at 1.610.251.6789 and bill.mcfadden@sungard.com.

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