



**SUNGARD** GLOBAL ONE

## Meeting the Challenges, End-to-End

Trade volumes are on the increase while spreads continue to narrow. More complex trading activities are evolving in what has become a global securities finance marketplace. These are amongst the many trends driving the uptake of technology in the securities financing market.

SunGard's Global One is an end-to-end solution that helps financial institutions to reduce costs and mitigate risks, meet more stringent compliance regulations and increase competitiveness. Its ease of deployment enables quick time-to-market and avoids expensive and resource intensive in-house projects.

### About Global One

More than 90 financial institutions with over 2,300 users worldwide use Global One for real-time administration and management of their international and domestic securities lending programmes.

Global One delivers well-proven, flexible, plug-and-play transaction processing, combined with powerful integration capabilities to facilitate straight-through processing.

## Multicurrency, Front-to-Back International Securities Lending

Global One is a front-to-back, multicurrency solution for international securities lending, supporting both lenders and borrowers. It provides participants with a real-time solution for the accounting, reporting and management of their international and domestic securities lending activities. With Global One, financial institutions are better equipped to overcome the challenges of:

- Controlling costs and maintaining profitability
- Achieving greater scalability for growth and increasing volumes
- Gaining more cost-effective processing of general collateral business with automated trading
- Expanding into newly emerging markets, each with added market-specific nuances from an operational viewpoint
- Diversifying and expanding the instrument base and maximising profitability
- Establishing stronger risk management measures and achieving capital efficiency
- Meeting new regulatory requirements as they occur, including ALD and Basel II
- Increasing transparency
- Maintaining a competitive advantage

For more than 20 years, SunGard's global securities finance solutions have helped hundreds of financial institutions reduce time to market and provide faster returns. Today, US\$8 trillion in securities financing is managed on SunGard's securities financing solutions. More than 90 financial institutions worldwide and over 2,300 users rely on SunGard's Global One to provide real-time administration and management of their international and domestic securities lending programs.

Global One is designed to minimise project delivery risk and development costs. Its modular design provides for the flexibility organisations need to evolve with the changing industry landscape, market volatility and increasing volumes. SunGard is committed to achieving seamless integration with internal and external systems, developing new product capabilities through ongoing product development and providing the highest quality service to help customers navigate through industry and business change.

**GLOBAL ONE HAS BECOME THE DE-FACTO STANDARD IN THE INTERNATIONAL SECURITIES LENDING ARENA**





### **Integration to Facilitate STP**

Global One provides plug-and-play transaction processing and facilitates straight-through-processing through integration with electronic trading platforms and operational support systems for settlement, tri-party collateral management, credit risk and accounting. Using industry-standard interface protocols, it offers intuitive and flexible profiling utilities to ensure simplified integration with other systems and web services.

### **Innovation for Greater Performance**

To grow and succeed in the securities lending industry, institutions need to establish and maintain a proactive, flexible and cost-effective business process. Global One is committed to innovation, with enhanced functionality designed to help organisations meet new challenges with minimal disruption to their businesses. Interest continues to grow in order management and electronic markets and there is a greater need for transparency and an increased demand for new methods of price discovery. These trends are being balanced with mixed trading books including general collateral and specials, increased risk controls and management of exclusives.

Recent examples of our innovative approach to the provision of solutions include:

- **An AutoBorrow integration service** providing a fast, cost-effective route to interface with various securities lending, trading and order routing systems globally. This affords front office personnel to spend more time on executing specials and more profitable trading strategies.
- **Access to Global One functionality** via an object model to allow customers to incorporate standard elements of code within their own web service applications. This allows customers to concentrate their resources on developing strategic system elements without having to also develop standard core processes.

### **Ongoing Product Development**

With industry initiatives and regulations changing at a rapid rate, firms are looking for solutions that enable them to focus more on their core business. The Global One team works closely with its broad customer community, industry committees and regulatory bodies to ensure the solution continues to meet these challenges.

The major achievements from our ongoing product development include:

- **A proven Agency Lending Disclosure (ALD) service** to help automated disclosure to achieve reduced capital charges, greater efficiencies and a broader scope of supply and potential business.
- **Automation of corporate actions processing** to help reduce time, errors and loss of income due to missed actions.

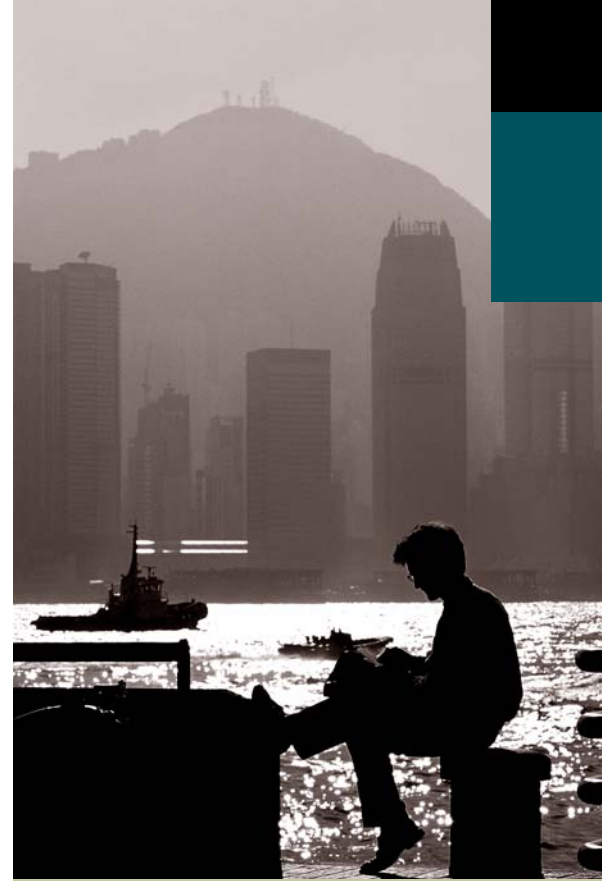
## Quality Service

SunGard's expertise in the securities finance industry is second to none. We constantly strive to further enhance our global support of customers with local help desk services complemented by training and consultancy, provided through our experienced product experts. We also offer a rich program of seminars and forums to foster knowledge-sharing and networking amongst our customers.

## Key Features

Global One's unique features automate the full stock loan lifecycle to provide an efficient, cost-effective infrastructure capable of supporting business expansion.

- Links with order-routing systems to maximise automated trading
- Easy integration with in-house front-end trading platforms to aid customers in the deployment of their own proprietary trading strategies
- Support of both principal and agency trading structures, allowing lenders to make maximum use of both their own and their clients' portfolios
- Authorisation of trades, and full trade lifecycle activity audit to meet the requirements of the most stringent audit process
- Standard 'out of the box' settlement links with market standard settlement systems, such as SWIFT and CREST, to gain maximum STP efficiencies
- Stringent management of collateral restrictions and limits on cash, non-cash and cash-pool collateral to reduce lending risk
- Exception-based exposure and marks processing to ensure sufficient collateral coverage at all times
- Credit limits processing to minimise securities finance-specific operational risk
- Management of the collection of cash dividends and automation of mandatory corporate actions, reducing the risk of missing critical events that impact the profitability of a trade
- Generation of detailed (and summary) fee statements, plus management of fee collection cycle in order to ensure that stock loan fees are recovered with maximum speed and minimum effort
- A rich pool of standard operational reports, plus a report generator based on an industry-standard business intelligence tool to allow for user-definable enquiry and reporting
- Inter-entity trade flow management that facilitates the processing of back-to-back trades between multiple legal entities from a single point of entry



**"GLOBAL ONE provides the reliability and flexibility needed to allow us to expand our global program"**

- Designed and tested in conjunction with leading market participants
- Scalable technology platform
- Highly functional modular solution
- Minimal development and systems administration
- Tailored on-site user training
- Comprehensive documentation and support services

**GLOBAL ONE IS HIGHLY INTEGRATED, COMBINING THE ROBUSTNESS OF AN OUT-OF-THE-BOX SOLUTION WITH THE FLEXIBILITY OF AN OPEN SYSTEM**



## Leveraging the SunGard Solution Family

SunGard is committed to integration of its own solutions to help firms achieve a truly streamlined environment that maximises value and efficiency.

Global One integrates with SunGard's other leading solutions for U.S. and international securities finance, including the Loanet real-time interactive securities finance solution, and the Martini real-time global trading platform for bond and equity securities financing. Complementing these solutions is SunGard's ASTEC Analytics, a specialist research consultancy and provider of market information and analytics on securities finance.

From trade initiation through the full operational lifecycle, SunGard's securities finance solutions help minimise effort by automating time-consuming tasks such as order-routing, inter-market participant reconciliations, automated marks and recalls processing. Through integrated offerings, SunGard is able to help provide customers with increased business opportunity and reduced operational costs.

SunGard is unique in its breadth and depth of securities finance systems expertise, coupled with access to a linked community of lenders and borrowers of securities around the world.

With annual revenue exceeding \$4 billion, SunGard is a global leader in software and processing solutions for financial services, higher education and the public sector. SunGard also helps information-dependent enterprises of all types to ensure the continuity of their business. SunGard serves more than 25,000 customers in more than 50 countries, including the world's 50 largest financial services companies. Visit SunGard at [www.sungard.com](http://www.sungard.com).

### Global One Users

Used throughout the securities finance community:

- Insurance Companies
- Mutual Funds
- Asset Managers
- Global Custodians
- Agent Lenders
- Third Party Lenders
- Investment Banks
- Broker Dealers
- Prime Brokers
- Hedge Funds

**GLOBAL ONE HAS THE SCALABILITY REQUIRED TO SUPPORT YOUR GROWING BUSINESS**

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