

STREAM RIMS MIDDLE & BACK OFFICE FOR THE BUY SIDE

Solve my immediate problem without restricting future flexibility

PROFILE OF CLIENT

The client, a global investment company, ranks amongst the world's largest fund management companies.

They are located in Asia and manage their government's foreign reserves worldwide, representing over \$100 billion.

The company invests internationally in equities, fixed income, foreign exchange, commodities, money markets, alternative investments, real estate and private equity.

NEEDS OF CLIENT

Before they switched to Stream RIMS, the client had very manual operations. This was a source of errors and was incompatible with their growing business.

The client:

- Was facing increasing volumes
- Wanted to automate processes throughout their business lifecycle to reduce manual effort and costs
- Wanted to increase automation of messaging to meet custodians' specific needs whilst reducing costs
- Wanted to communicate quickly and accurately with their brokers

THE SOLUTION

The client searched the market for the best solution and selected Stream RIMS Middle and Back Office. The following points were key in the decision: totally integrated solution, covering a wide range of assets, with very

flexible fee calculation capability, and scalable to support further growth.

In the front office, the client has a portfolio management system, capturing the composition and structure of each fund and sub-fund. The portfolio management system performs functions such as monthly valuations and 'what if' analysis to back up investment decisions.

The Stream RIMS system mirrors the funds and sub-funds from the portfolio management system. Trade information is fed to Stream RIMS Middle Office in real time from the portfolio management system, which enables the user to match incoming confirmations with trades from the Front Office and issue confirmations and allocations to the broker via OASYS CTM, SWIFT, e-mail or faxes.

Stream RIMS Middle Office also enables the user to track, analyse and manage unmatched trades against confirmations received from the brokers. Appropriate action can be taken with full trade diary audit trail.

Once trades are matched, Stream RIMS Back Office looks up predefined rules and automatically composes and releases SWIFT settlement messaging for the correct asset class, taking into account the custodians' preferences to achieve full STP. This messaging is routed to and from the correct custodian in real time.

Stream RIMS Back Office enables the user to track and analyse trades that are open, failed and fully or partially settled by a number of flexible criteria. These include by broker, size of trade and asset class.

Wrapped around both Stream RIMS Middle Office and Stream RIMS Back Office, the STP tracker module ensures that any break in the STP is highlighted and routed to the appropriate users together with suggested resolution methods.

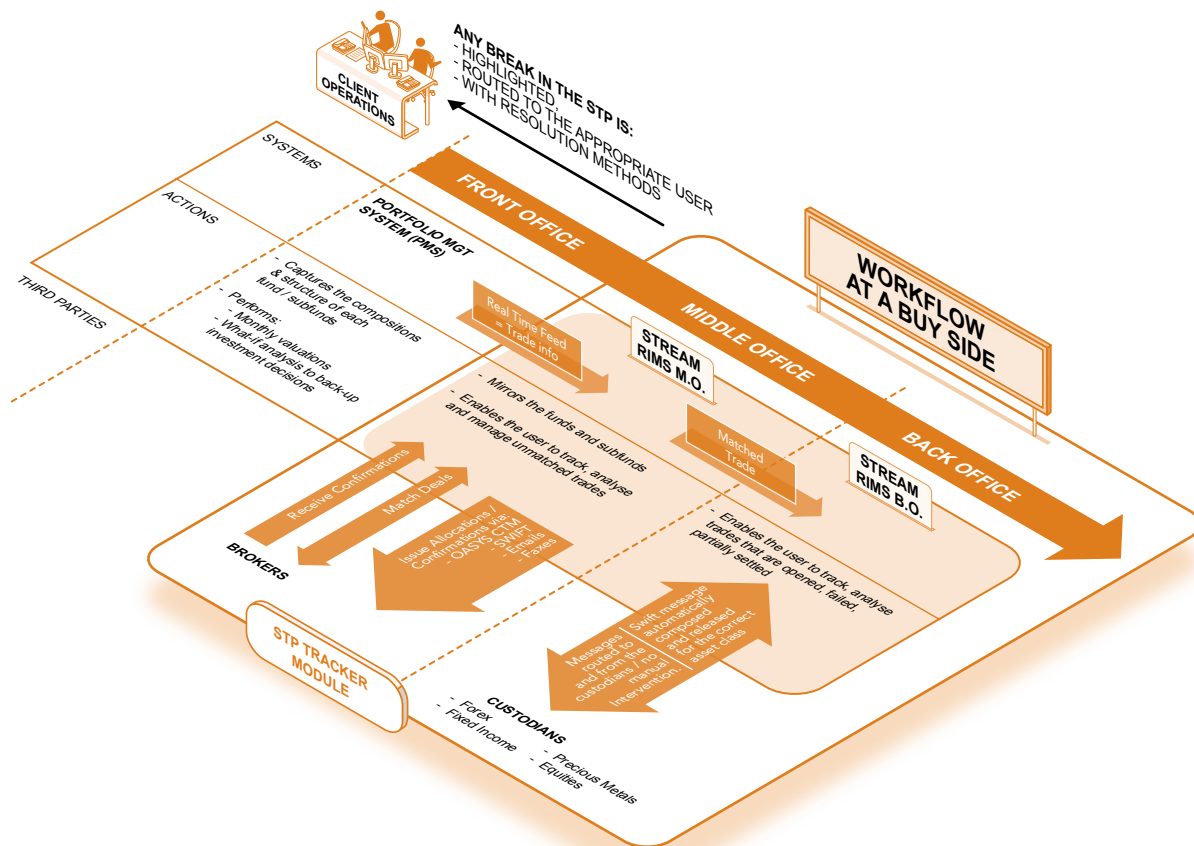
With Stream RIMS, the client benefits from an exception based, fully STP system, requiring

no manual intervention for cash trading, buy sell backs, repos and Non Deliverable Forwards (NDFs).

It covers a wide range of assets:

- Forex
- Fixed income
- Precious metals
- Equities

THE WORKFLOW



NEED MORE INFORMATION?

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