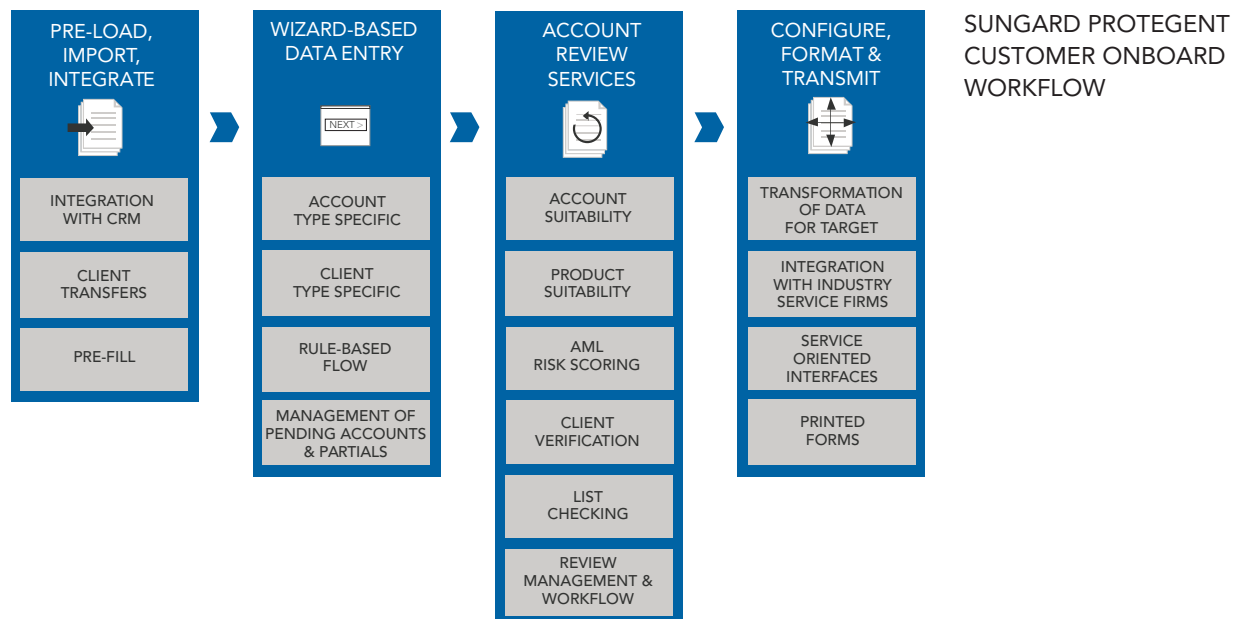


## PROTEGENT CUSTOMER ONBOARD

Managing the new account opening process has historically been a challenge for many brokerage and insurance firms. A manual, paper-driven process, with disparate processes for capture of different lines of business, presents operational inefficiencies and negative user impact. Therefore, it is increasingly important for firms to implement a flexible system that will maintain efficiency, ease of use, and control throughout the customer's enrollment experience. And, as regulatory requirements continue to increase, the need for an automated solution becomes even more critical.

### Overview

Protegent Customer Onboard, available as a stand-alone solution or as an integrated component of Protegent Surveillance, facilitates and expedites the opening of new brokerage and non-brokerage accounts. The solution provides a single point of entry for distinct account types across multiple back-office applications, automates many time-consuming and error-prone manual tasks, and helps to ensure compliance with Office of Foreign Assets Control (OFAC) and Anti-Money Laundering (AML) regulations.



Streamlined processes resulting from Customer Onboard not only help reduce operational costs, but also help alleviate possible user frustration with highly manual processes and less automated back-office systems. Improving broker satisfaction is critical, as it can positively impact account open rates, as well as representative retention and recruitment efforts.

For more information, please call (201) 499-5900 or visit [www.sungard.com/protegent](http://www.sungard.com/protegent).