

SGN FUNDS

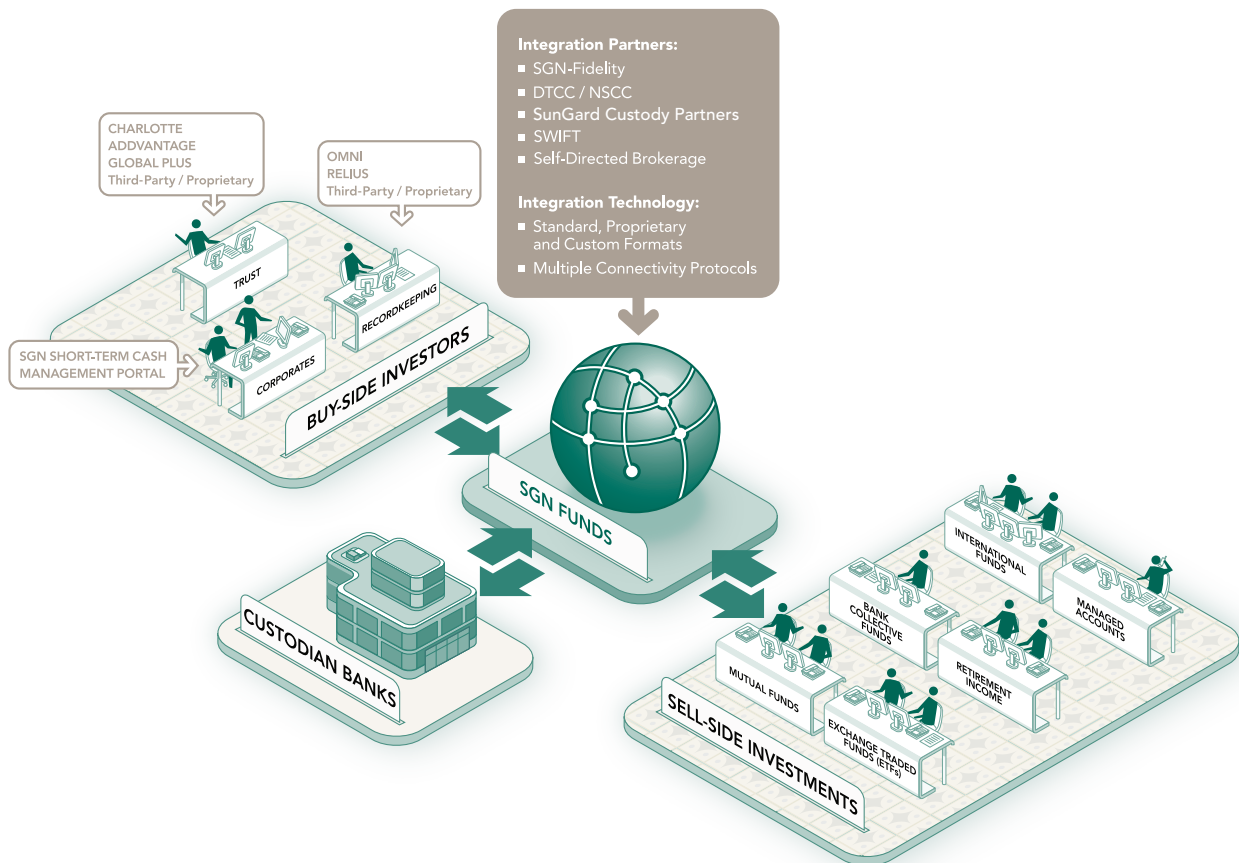
Automating, Integrating and Connecting the Trade Lifecycle

SGN Funds provides banks, trust organizations, retirement plan administrators, insurance firms, wealth managers and other buy-side institutional investors with scalable, global connectivity and services to a broad variety of funds offerings. Through a single network, buy-side institutions can access more than 21,000 funds around the globe, including mutual funds, exchange traded funds, bank collective funds, UCITS, retirement income and separately managed investments. Sell-side fund providers connected to SGN Funds gain broad access to institutional client platforms including, SunGard recordkeeping and trust systems with more than \$6 trillion under administration.

DELIVERING STRAIGHT-THROUGH PROCESSING

SGN Funds delivers straight-through processing between fund companies, and investor recordkeeping and accounting systems, helping streamline your data and trade flows and increase efficiency. Features and benefits include:

- Flexible integration accommodating multiple trading platforms and message standards, including SGN-Fidelity, NSCC, SWIFT and SunGard custody partners.
- Access to automated pricing, dividend, transaction and daily position information
- Single connection to a broad range of investment types and over 21,000 individual funds
- Reduce development time and ongoing cost to internal systems
- Consolidated and automated daily net settlements with optional FX services
- Full-service custody options through SunGard's partners



ADDRESSING RISK AND GROWING YOUR BUSINESS

Many factors can create significant risk for your trading operations and hamper your ability to effectively support the rapidly changing needs of your clients. Your trading systems need to keep up with the continuous evolution and expansion of complex investment options, market volatility and changing legislation. At the same time, information technology (IT) budgets are tightening, which can limit major system changes and extend reliance on legacy platforms.

SGN Funds helps you overcome these operational challenges. Because it is flexible and extensible, SGN Funds helps you address risks and move your technology forward to meet the growing needs of both your clients and your organization.

BELONGING TO A FAMILY OF SOLUTIONS

SunGard delivers end-to-end trade management solutions that help you manage and streamline trading operations across your organization, clients and third-party trading partners. SGN Funds gives you flexible access to thousands of funds worldwide, yet is just part of a family of solutions that interoperate and integrate with one another to help serve the needs of the financial services industry. SunGard's related solutions include:

Trust and Recordkeeping Systems — SunGard's core trust (Charlotte, AddVantage and Global Plus) and recordkeeping (Omni and Relius) platforms can integrate directly to SGN Funds. SGN Funds also connects many third-party and proprietary systems helping to ensure automation of your trading solution from nearly any platform.

Business Process Outsourcing and Workflow Management — SunGard can partner with you to manage all or part of your trading lifecycle through our integrated workflow solutions and outsourcing services.

Global Services — SunGard Global Services provides access to nearly 5,000 product and consulting experts that can be leveraged for trading operational assessments, compliance reviews, and custom development projects tailored for your specific trading environment.

Hosting — SunGard's hosted solutions are delivered through SunGard's own data center facilities, which provide secure, high-availability, redundant systems in support of your mission critical trading operations.

LEARNING MORE

To learn more about SGN Funds and how it can benefit your organization, talk to your SunGard account representative or contact SunGard at swm.marketing@sungard.com.

CASE STUDY: SUNGARD'S ASSESSMENTS AND EXPERTISE COMBINE TO BRING RESULTS

Situation

One of the largest U.S. recordkeepers was concerned about compliance and functionality risks with its proprietary trading platform which supported mutual funds, collective funds, fund of funds, company stock and other institutional investment options.

Solution

SunGard performed an assessment of the recordkeeper's trading operations leveraging its trading expertise to design, and recommend an end-to-end trading solution. The solution included an update of select functions from the organization's proprietary software, SunGard's Omni Trade integrated into the recordkeeper's proprietary recordkeeping system and the SunGard Global Network (SGN Funds) for external trading connections.

Results

Through the assessment, SunGard was able to identify an optimal solution balancing the customer's needs, existing software and budget to create an integrated architecture for ongoing support. Additional options included the use of SunGard's hosting services and expected outsourcing of processing duties over time. The resulting design helps mitigate compliance and trading risks while providing added functionality and features for the organization's client base.