

WEALTHSTATION CLIENT ACCESS: FINANCIAL PLANNING

WealthStation is a client-centric platform for financial advisors, planners and wealth managers. With WealthStation's Client Access features, your clients can also reap the benefits of WealthStation's numerous tools. Client Access Financial Planning allows financial service firms and independent advisors to provide their clients with secure, Web-enabled access to personal account data, as well as the tools for scenario building and analysis.

Tools included in WealthStation Client Access Financial Planning help communicate and enable information sharing with your clients so you can deliver ongoing service excellence. WealthStation Client Access interfaces with more than 20 WealthStation Foundation and Planning Series modules, as well as the client's integrated data, permitting clients to work on their own analysis scenarios to facilitate collaboration. Giving your clients access to this information, as well as the same WealthStation financial tools you use, adds another checkpoint for ensuring data accuracy.

You set the level of access and account data analysis permissions by selecting which modules and scenarios your clients can access. Additionally, you can note who used the system using WealthStation's Client Access tracking tools. Information on client activity can be used for subsequent review and collaboration. For example, you can perform comparisons between your investment scenarios and analyses, and those of your client, through Scenario Comparison — giving you a new and unique perspective on your client's thought processes.

SET VARIABLE PERMISSIONS AND CONTROLS

With WealthStation's Client Access, you can expand upon and administer access control to help create a nearly virtual extranet for your clients. The administrative module provides you with configuration capabilities and access controls, module customizations, monitoring and measurement tools, and tracking reports. As WealthStation's Client Access Financial Planning is a secure, permission-based system, you can first determine what information you want each client to access and then select the modules the client will have permission to use.

Perhaps you initially want to provide minimal permissions, such as access to view account information only, or access to a form required for the update of basic account information. Alternatively, you may have clients who would benefit from being "power users," running their own analyses related to their retirement, insurance and education funding needs. Each of your clients has unique needs, and WealthStation's Client Access provides the flexibility to help you fulfill them.

YOU CHOOSE TOOLS THEY ACCESS

With WealthStation Client Access, you define which available WealthStation modules you want each client to have permission to use:

Planning Series Modules:

- Accumulation
- Asset Allocator
- At Retirement
- Concept Profiler
- Debt Management
- Intelligent Questionnaire
- Disability
- Education Funding
- Estate Preservation
- Financial Statements
- Income Tax
- IRA Analyzer
- Long-term Care
- Lump Sum Pension
- Retirement
- Survivor

Foundation Series Modules:

- Asset Allocator Foundations
- At Retirement Foundations
- Education Foundations
- Retirement Foundations
- Survivor Foundations

Examples of client options you can provide:

- View account information and statements only
- View and update account information
- Perform data manipulation and analysis related to financial planning:

Planning Series Modules: The Planning Series is designed to provide clients with advisor-like financial planning tools. Most modules in this series include a presentation mode to help the client understand the planning process. The current situation and analysis results are presented in a graphical, easy-to-read format. Modules in this series use the same robust calculations as SunGard's more advanced planning modules, but rely on default assumptions and require fewer data inputs to simplify the client experience.

Foundation Series Modules: The Foundations Series is designed to quickly generate professional financial plans. To accomplish this, it adopts quick input data forms that require a fraction of the data inputs required in the Planning Series. To preserve consistency, the Foundations Series uses the same calculation engines, produces the same key output, and uses the same printed reports as the Planning Series.

ACCESS BY INVITATION ONLY

WealthStation Client Access Financial Planning is available to your clients by your invitation only. After you establish a client's permissions, WealthStation's Client Access automatically generates an e-mail invitation that you can personalize and send to your client. In the invitation, the client is provided with a link to your system and a login ID. Password information is provided through a separate communication. In addition to the invitation, the client is informed that the registration links provided are valid for a finite period for security purposes. You establish the length of time it is available, ranging from seven to 30 days.

GO BEYOND MERE FINANCIAL PLANNING

WealthStation Client Access can deliver tools beyond financial planning, as well. Client access to trading, active trading (Client Access Investor) and asset allocation also are available. As always, you define which WealthStation modules and capabilities that you want to give each client permission to use.

REINFORCE YOUR BRAND

Because your clients sign on to WealthStation Client Access Financial Planning via your system, it makes sense that the pages provided to your client reflect the look and branding of your organization's online presence. That is why it is also available as a white-labeled offering, ready for your logo and color scheme. To your client, WealthStation Client Access Financial Planning looks seamless; it is just another valuable service offered by your firm. To you, WealthStation Client Access Financial Planning helps deliver tools to your clients that help foster understanding and open conversations regarding their accounts, and it gives you another avenue to help reinforce your brand.