SUNGARD WEALTHSTATION

WEALTHSTATION CLIENT ACCESS: INVESTOR

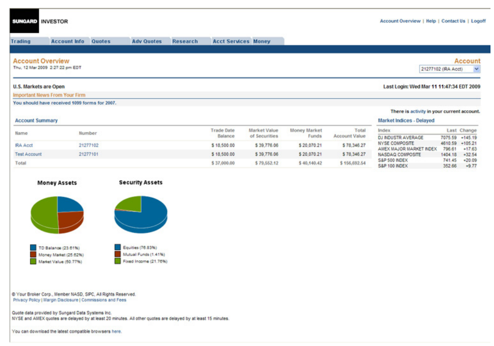
With WealthStation Client Access: Investor, you and your clients gain quick access to the valuable features and functions of online trading and account lookup via an affordable, outsourced solution. WealthStation Client Access: Investor delivers intuitive functionality that is flexible, cost-effective, compliance-supportive and integrated.

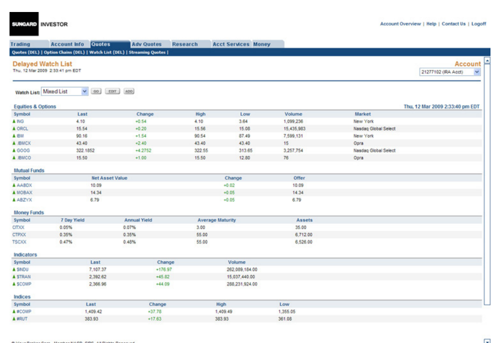
Flexible and Cost-Effective

WealthStation Client Access: Investor meets the needs of a wide range of financial firms, including discount, full-service and regional brokerages, individual advisors, and clearing organizations. It is a highly scalable, turnkey solution for client access and communication. Use of the Client Access: Investor portal is a cost-effective way for clearing organizations to provide online capabilities to their clients and correspondents. WealthStation Client Access: Investor helps reduce your firm's customer support requirements by offering features and information online, and by making full use of business-rule-controlled automation. The secure, Web-browser based software helps eliminate expensive IT infrastructure requirements, such as leased lines, dedicated hardware and VPNs.

Dynamic and Supportive

Dynamic, database-driven rules control business logic, entitlements, commission schedules, order routing, and order compliance checking. All orders and UR OUTs are reflected in the WealthStation interface, whether they are placed through SunGard's software or through the back-office directly. System information is always presented in real-time. The system's detailed data collection, reporting tools, audit trails and entitlement controls are built into the administrative module, helping you meet Patriot Act and other compliance requirements. You can employ more than 200 time-tested, comprehensive order checking and compliance rules to help reduce or eliminate manual order handling.

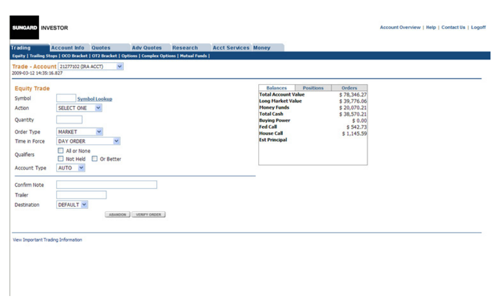




Integrated and Innovative

WealthStation Client Access: Investor integrates with your systems and supports major back-office systems with direct API connectivity to Broadridge BPS, Broadridge SIS, BETA, SunGard Phase3, NFS and Pershing. Workflow products — such as electronic account opening, ACH, and check requests — seamlessly integrate to provide a broad, powerful offering.

Your firm's online Web site can now be used as a marketing and CRM tool by leveraging WealthStation Client Access: Investor's capability to broadcast messages to clients, post banner messages and deliver secure messaging. Vital customer statistics can be collected through administrative reports and then analyzed for trends in customer base, trading patterns, site usage and demographics. By leveraging SunGard's high-performance architecture, and supported by SunGard Data Systems' data center along with real-time data replication, WealthStation Client Access: Investor helps deliver the timely, accurate and secure data your online clients and correspondents demand.



Performs and Grows

WealthStation Client Access: Investor establishes an Internet trading platform for brokerages and clearing firms. A high-performance, multi-threaded, asynchronous routing system developed to support high-volume securities trading applications, its trading engine is composed of more than 200 standardized, database-driven rules. It also contains a flexible commission module, order routing capabilities to every major back-office, and FIX routing to individual exchanges. WealthStation Client Access: Investor provides a reliable, time-tested process for transmitting significant trade volumes. By its very nature, WealthStation Client Access: Investor is designed to perform and grow with you by delivering:

> Performance — Delivers low-latency performance to end-users and can be scaled to handle the massive volumes related to bull markets

> Reliability — Delivers a robust, self-diagnosing and secure framework that is ideal for mission critical, 24x7 operations

> Flexibility — Establishes a platform that is extensible, easy to maintain and upgrade to meet your needs for evolving functionality. New features can be added and existing features enhanced in a timely and reliable manner.

The WealthStation Client Access: Investor module facilitates communication and information sharing with clients, so that you can deliver ongoing service excellence. WealthStation and all of its various modules provide tools that help you be productive, while introducing a flexible platform for wealth management that can grow as the business grows.

Information, Features and Functionality in a Snap

- Customizable site, utilizing JavaScript presentation layer
- STP and ETF stock, option and mutual fund trading
- Real-time order status and executions
- Complex option trading
- Execution alerts via pop-up messages and e-mail
- Advanced and contingent orders, including trailing stops, OCOs, OTOs and OT2s
- UR OUT and next-day order entry support
- Over 200 order checking and compliance rules
- Accurate account information 24x7x365.
- Real-time balances, money funds, positions and activity
- Integration with statement and confirm vendors
- Unrealized and realized gains and losses (tax lots)
- Projected cash flow calculates future income
- Broadcast and banner messaging to keep users informed
- Quicken®, MS Money® and TurboTax® connectivity
- Microsoft® Excel downloads
- Householding (link and unlink accounts)
- Real-time or delayed quotes
- Option chains with advanced search features
- Electronic account opening
- Price alerts
- Unlimited customizable quote lists
- User friendly help text and FAQs
- Dynamic menus and user level entitlements
- Self-enrollment, helping reduce administrative burden
- Integrated research, market data and streaming quotes

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www.sungard.com/wealthstation