

WEALTHSTATION CLIENT ACCESS: ACTIVE TRADER

SunGard's WealthStation Client Access: Active Trader helps meet brokerage firms' need for a robust, scalable system that can respond to active traders' increasing sophistication and numbers. Speed, reliability and depth of information are foremost in the minds of active traders in today's world of e-finance. Active traders count on their firms to supply and support the tools they need to manage portfolios, and they demand access anytime, anywhere. Active Trader is intuitive enough to attract the novice investor, yet powerful enough to satisfy the demands of the most savvy active trader by supporting your interests in the lucrative business of active and options trading. This all-in-one tool can help your firm increase revenue, cut costs, retain clients and attract new clients.

Active Trader systems have direct connectivity to leading U.S. back-office systems — Broadridge BPS, Broadridge SIS, BETA, NFS, Pershing, and SunGard's Phase3. Active Trader can be customized, tested, and launched quickly.

Active trading provides a reliable, time-tested process for transmitting significant trade volumes. Active Trader is designed for:

- > Performance — Delivers low-latency performance to end-users and can be scaled to handle the massive volumes related to bull markets
- > Reliability — Delivers a robust, self-diagnosing and secure framework that is ideal for mission-critical, 24x7 operations
- > Flexibility — Establishes a platform that is extensible, easy to maintain and upgrade to meet your needs for evolving functionality. New features can be added and existing features enhanced in a timely and reliable manner.

ADVANCED FEATURES AND FUNCTIONALITY

WealthStation Client Access: Active Trader helps add value to the platform of services you provide your clients, enabling them with advanced, feature-rich technology.

Customizable and Web-based

- Multiple views with one-click switching
- Control placement, sizing and layout of components
- Integration with your Web page, broker tools or corporate intranet
- No software download hassles
- No changes to your current infrastructure
- Browser-independent, W3C DOM standards-based interface

Simple, No-Hassle Administration

SunGard manages all exchange reporting requirements. The administration module provides complete, user-by-user control over exchange entitlements.

TIME-TESTED AND MARKET PROVEN

Several key benefits and features for Active Trader include:

Equity Trades

- Streaming real-time positions and balances
- Pop-up execution notifications
- Machine-gun rapid trade
- Basket trading
- Auto-updating order book
- Full screen and trade-maximizer modes
- High-volume, straight-through processing
- Compatible with all major back-office systems, including Broadridge BPS, Broadridge SIS, BETA, Pershing, NFS and SunGard's Phase3

Complex Options

- Montage-driven, complex option trading screens
- Built-in strategies and a strategy builder

Advanced Orders

- Trailing stops
- OCO, OTO
- Contingency
- Bracketed orders

SunGard's WealthStation Client Access: Active Trader is time-tested and market-proven in both back-offices and market data and clearing organizations — a major key consideration for a system that controls the flow of your mission-critical transactions and information.

STANDARD FEATURES AND CABILITIES

Home Page

- User-controlled placement, sizing and layout of components
- Streaming market indices
- Rep information
- Help area for retail users
- Contact us information
- Scrolling news
- Linked accounts overview screen
- Firm news message
- PR wire news
- Exchange rates
- Web site
- Quick trading tabs

Account Info and My Records

- Real-time order status
- Balances and positions screen
- Account activity
- Customizable settings/views
- Self-enrollment
- Linked accounts
- Password recovery
- Messages

Quotes

- Real-time ticker
- Real-time quotes
- Watch lists
- Scrolling ticker
- Option chains
- Interactive charts
- Asset chart
- Stock chart
- Streaming chart/quotes

Trading

- Equities
- Options
- Complex options
- Mutual funds
- ETFs
- One-click cancel
- Modify orders
- Trailing stops, OCOs, OTOs and OT2s
- Stop and stop-limit orders
- Order execution notification
- Rapid trade