SUNGARD WEALTHSTATION

WEALTHSTATION TRADING AND REBALANCING

WealthStation Trading and Rebalancing links you via secure connections in real time to brokerages or back-offices to reach all major service bureaus that perform trading of multiple asset classes. Data flows uninterrupted from one system to another, helping you alleviate data error and deterioration risk. The straight-through processing provided via WealthStation's one, shared data system reduces manual tasks, delays and errors. It also streamlines sales efforts and simplifies operations to help you provide clients with up-to-date information and a rebalanced portfolio faster than ever before.

Typical Business Challenges	SunGard's Solution
Rules, predefinitions, policies and models for accounts are historically kept manually or in a separate system or file, taking time to reference and execute.	Models, policies, rules and predefinitions can be built into your WealthStation accounts, saving time and effort, and allowing automatic executions.
Access to trading is often on another system altogether, resulting in login/logout, manual reconciliation and rebalancing of accounts or additional data entry.	WealthStation brings trading and rebalancing capabilities into a single system. The need to log out is eliminated, and all trading information is used for rebalancing client portfolios in a single system — reducing the need for manual reconciliation and rebalancing.
Rebalancing and overlay management are usually separate, requiring manual effort.	Overlay management is integrated into WealthStation's rebalancing capabilities.
Information is not shared between advisor workflow applications, requiring dual data entry, reconciliation, extra time and effort.	When information is entered in one tool, it is automatically available to all tools in the system. This avoids redundant data input and helps mitigate data error risk.

Deliver More Timely, Complete and Comprehensive Client Service

One of the key advantages to WealthStation Trading and Rebalancing is the use of the system's integrated and shared information. There is no need to log out and then into another system, or to perform unnecessary manual tasks for importing and exporting account data. This benefit also helps you mitigate information error risk, save time and streamline processes. WealthStation Trading and Rebalancing can process all security types and currencies — dollar and non-dollar equities, fixed income, mutual funds, annuities, and more — on a single platform.

- Automates the trading lifecycle via a single, front-office interface
- Provides secure connections in real time to back-offices to reach all major service bureaus
- Allows users to define their own models
- Allows for order checking and establishment of compliance rules
- Allows predefinition of the account, and then performs a series of trades to assure parameters, models or policies are met
- Integrates overlay management automatically as a component of rebalancing
- Allows automatic rebalancing operation to an established Investment Policy Statement
- Initiates trades automatically according to a schedule or predefinition (optional)

WealthStation automates every step in the trading lifecycle. The consolidation of books and records helps to eliminate downloading delays and results in reconciliation between separate systems. You can manage your client's portfolio far beyond the planning or proposal stage to provide timelier, comprehensive, full service using WealthStation's many features.

Backed by SunGard

SunGard is more than a technology vendor; SunGard helps create extensible solutions and useful workflow standards that leverage technology, so that you can spend more time optimizing clients' value. WealthStation is part of SunGard's full suite of end-to-end wealth management solutions, linking front-office, middle-office and back-office systems for organizations such as yours.

SunGard's end-to-end approach helps businesses maximize the sharing of information to mitigate information risk, and enable trade execution, reporting, accounting, planning, allocation, and integrated business workflows. WealthStation brings vital tools and systems together for you so that you can concentrate on the most important part of your business — client relationships.

One-System Trading and Rebalancing Benefits

Trading and rebalancing functions are usually found on separate platforms, resulting in manual process intervention, loss of time and an increase in the risk that information errors could occur. Having WealthStation's integrated trading and rebalancing capabilities working for you in one system:

- Eliminates delays caused by reconciling and manually rebalancing portfolios
- Makes trading and rebalancing easier via the user friendly, single interface
- Uses key data already established in WealthStation, reducing data input effort and time
- Secures and contains information in one system, mitigating risk
- Allows advisors to go beyond proposal and planning, into execution and follow up
- Helps enhance the advisor/client relationship by providing more comprehensive service ■